



*Department of Health
and Human Services*

*Maine People Living
Safe, Healthy and Productive Lives*

HIPAA Version 5010 Update for PAG/TAG

October 20, 2011



Agenda

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Maine's Path to HIPAA Version 5010 Compliance

Minimize risk and change to the system

Business

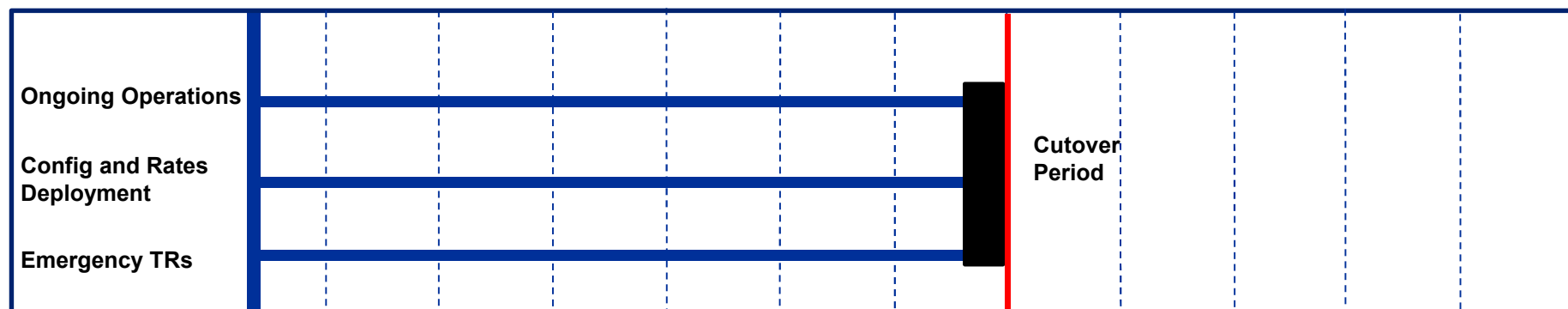
- Remediate EDI to meet HIPAA Version 5010 certification standards for electronic transactions
- Remediation of system “as is”
 - All current system functionality will be functional in the new system
 - Same data sets as for 4010 with required HIPAA requirements
- Use of expanded 5010 and ICD-10 sets will be decided and applied in ICD-10 business assessments for deployment on 10/1/2013

Technical

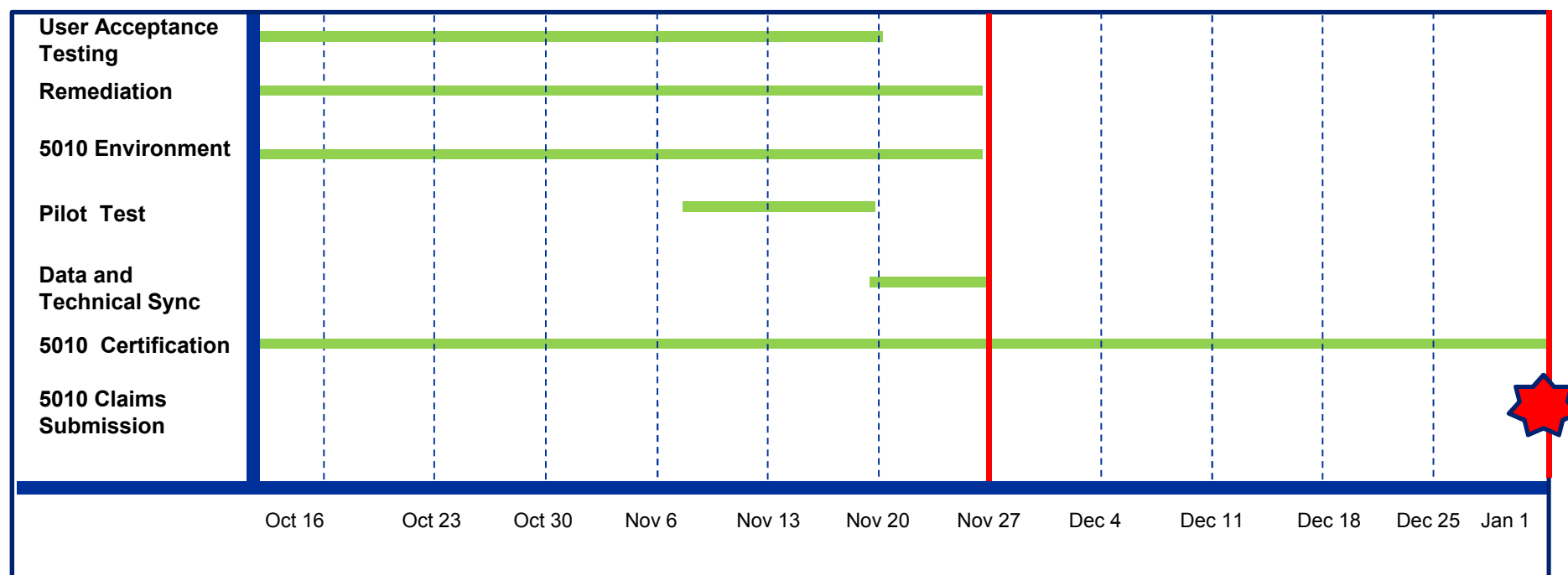
- Software upgrade not new development
 - 5010 compliant version
 - ICD-10 technically compliant version
- Improvements to technical infrastructure
- Creation of a new parallel technical environment to eliminate impact of project to current system and operations

Timeline and Key Activities

Current environment



New environment



5010 Communication Activities

- Launched OMS dedicated 5010 / ICD-10 website
 - Under the “Current Projects” section
 - http://maine.gov/dhhs/oms/5010_icd10/5010_index.html



Current Projects
Health Information Technology (HIT)
NEW! HIPAA 5010
Managed Care/ Value Based Purchasing
NEW! PNMI Initiative
Transportation Initiative

- Conducted HIPAA Version 5010 Trading Partner Readiness Survey
- Conducting final review of companion guides
- Molina Provider Outreach team soliciting volunteers for HIPAA Version 5010 pilot testing



HIPAA Version 5010 Readiness Survey Findings

Distributed

- Online survey was open from October 5th through October 11th.

Responses

- 190 responses and 92% were from providers.

Awareness

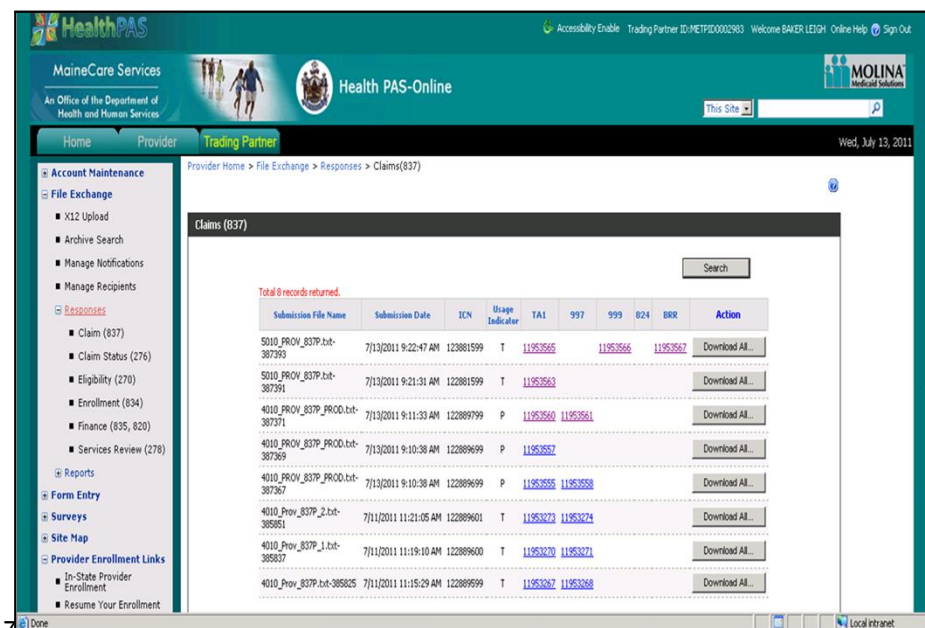
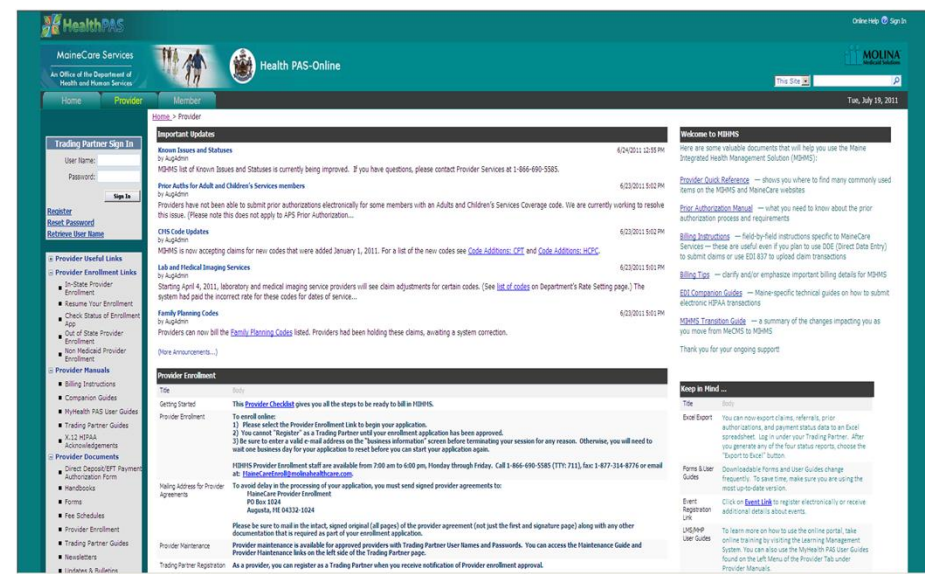
- 60% of respondents are aware of 5010.

Trading Partner Requests

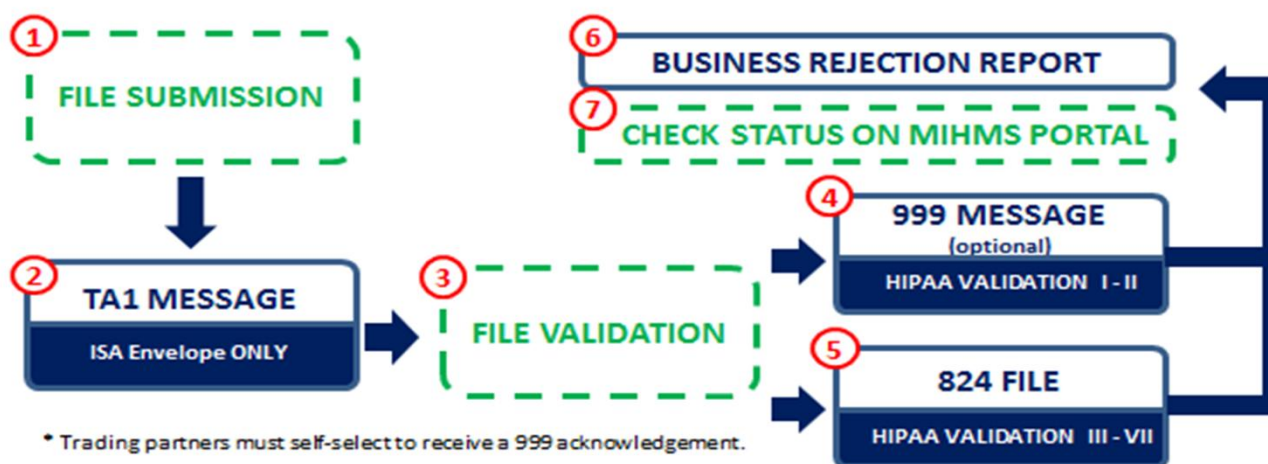
- Respondents want frequent, targeted communications about 5010.
- Respondents are interested in other trading partner experiences.

Reminder–5010 Certification Testing

- Trading Partners can log in to the portal using the user name and password created when obtaining a Trading Partner Agreement.
- Trading Partners can submit test files via the self-service portal to become HIPAA Version 5010 compliant.
- In order to get certified, Trading Partners must successfully submit three test files with more than 15 transactions in each file.
- A HIPAA Version 5010 testing guide is available to assist with testing.
 - HealthPas Online > Presentations



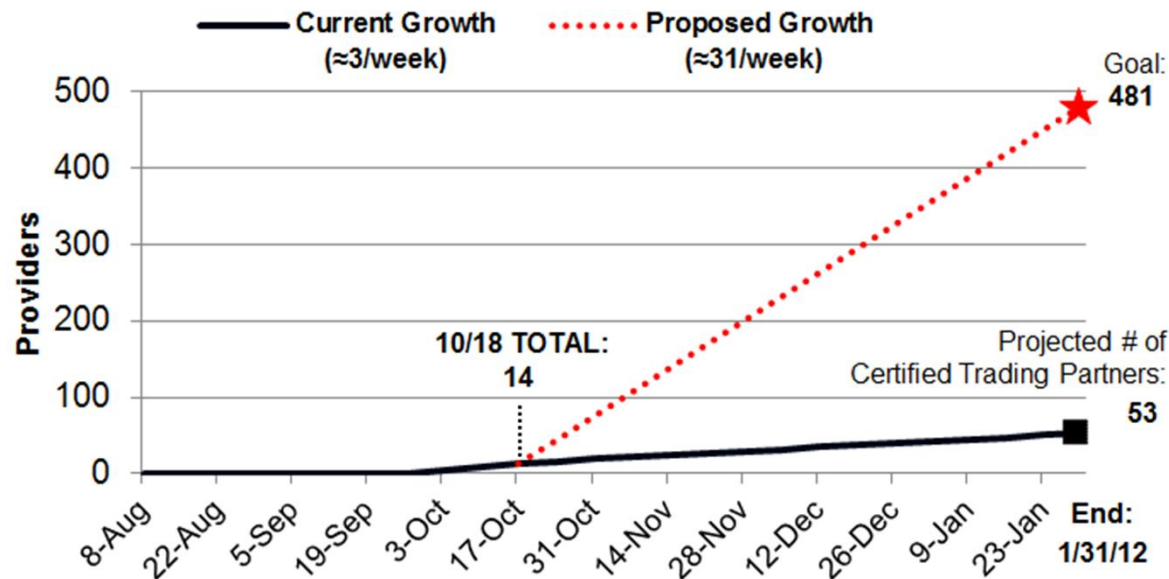
HIPAA Version 5010 Certification Testing Process



1. **Test file is submitted.**
2. TA1 Message: Message reads “Success” if file has passed validation and “Failed” if unsuccessful.
3. File validation.
4. 999 Acknowledgment: Informs the trading partner that the file successfully arrived at its destination as (1) accepted or (2) accepted with errors as identified during HIPAA Levels I-II validation.
5. 824 File: Molina response communication. Gives detailed reports for error codes encountered during HIPAA Levels III-VII validation.
6. **Business Rejection Report: Describes testing errors identified during file validation.**
7. **Status of test file is checked on the MIHMS Portal.**

HIPAA Version 5010 Certification Progress

- Of the 481 Trading Partners who currently submit electronically, only 44 have submitted test files.



- 14 Trading Partners are certified to submit HIPAA Version 5010 837 and 270 transactions.

HIPAA Version 5010 Certified Trading Partners

- City of Biddeford – 837 Professional
- Classic Optical Laboratories, Inc. – 837 Professional & 270 Eligibility Inquiry
- Cortex EDI, Inc. – 837 Professional
- FDS, INC – 837 Professional
- HealthReach CHC – 837 Institutional
- Kennebec Valley Mental Health Center – 837 Institutional & 837 Professional
- Lincare – 837 Professional

- Office Ally – 837 Professional
- Port Resources – 837 Institutional and 837 Professional
- Recondo Technology, Inc – 270 Eligibility Inquiry
- SeniorsPlus – 837 Professional
- Wolf Eye Associates PA – 837 Professional
- Youth Alternatives Ingraham – 837 Institutional and 837 Professional

5010 Pilot Testing

- Soliciting volunteers to participate in 5010 pilot activities
 - Molina Provider Outreach team soliciting volunteers for HIPAA Version 5010 pilot testing
 - 26 survey respondents expressed interest in participating in the pilot
 - Nine volunteers identified and two have completed HIPAA Version 5010 certification testing
- Prerequisites for participating in the pilot:
 - Currently submitting electronically to MIHMS
 - Completed 5010 certification testing
 - Participants are limited to those trading partners who are currently submitting 837 institutional, professional, or dental claims or 270 eligibility inquiry transactions
- If you would like to volunteer, please contact Matt Galletta at matt.w.galletta@maine.gov and put “Volunteer for Pilot Testing” in the subject line

Summary

- Activities for achieving 5010 compliance are well underway.
- Companion guides are under final review by the State.
- Pilot testing projected to start in November.